# A How-To Guide for Planning Hospital-to-Home Care Transition Interventions: **Findings and Implications of a Realist Synthesis** Natasha E. Lane MSc<sup>1</sup>, Kristen B. Pitzul MSc<sup>1</sup>, Anum I. Khan MSc<sup>1</sup>, Teja Voruganti MSc<sup>1</sup>, Jennifer Innis NP MA<sup>1</sup>, Walter P. Wodchis PhD <sup>1,2</sup>, G. Ross Baker PhD <sup>1</sup>

### OBJECTIVES

- People who are discharged from hospital to home are at increased risk of numerous adverse outcomes<sup>1</sup>:
  - Functional decline and poor self-rated health
  - Poor continuity of care and medication errors
  - Re-hospitalization, early institutionalization or death
- Existing meta-analytic syntheses on efficacy of interventions to prevent these outcomes have been largely inconclusive.
  - They report that heterogeneity in target populations, activities and contexts of care transition interventions limit conclusions about which interventions consistently work.<sup>2,3,4</sup>
- The realist synthesis approach leverages this heterogeneity in care transition intervention activities, target populations and contexts to yield actionable results.<sup>5</sup>

This study aimed to answer: Why do different care transitions work, for whom and in what contexts?

### THEORY

- Realist synthesis is a systematic, theory-driven, interpretive technique that uncovers relationships between contexts, activities, mechanisms and outcomes in complex interventions.<sup>5</sup>
- We hypothesized that that different care transition activities would induce patient outcomes via mechanisms that varied across home and hospital contexts.
- The theoretical constructs in Table 1 were used to guide the extraction and synthesis of data from a scoping literature review.

Realist Construct	Definition
Context	Organizational or environmental back-drop of care transition intervention that triggers or modifies activities' actions. <sup>6</sup> <i>E.g. academic hospital; financial incentives for improved care transition</i> <i>outcomes.</i>
Activities	Processes, tools, events, technology and actions that are an intentional part of program implementation. <sup>7</sup> <i>E.g. creation of a personalized care plan; medication reconciliation.</i>
Mechanism	Underlying entities, processes or structures which operate in particular contexts to generate outcomes of interest. <sup>8</sup> <i>E.g. consistent provider pre- and post-discharge fosters relationship</i> <i>with patient; intensity and repetition of activities increases their impact</i> <i>on outcomes.</i>
Outcomes	Either intended or unintended results of intervention activities; can be proximal, intermediate or final. <sup>8</sup> <i>E.g. changes in program participants' health care utilization, health</i> <i>status or knowledge.</i>

### Table 1: Realist Synthesis Constructs Examined in Care Transition Intervention Studies





health system performance research network

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# RESULTS

- Of included studies, 84% (n = 111) compared individual-level outcomes in control versus intervention groups.
- Of these comparison studies, **59%** (n = 65) and **26%** (n = 26) achieved success on some or all measured outcomes, respectively.
- **47%** (n = 63) of studies reported intervention activities and/or contextual factors that **facilitated the intervention's success** (Table 2).
- **50%** (n = 66) of studies reported intervention activities and/or contextual factors that were **barriers to intervention success** (Table 3).



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neses by first examining C-A-M-O
in quantitative coded data.
assess if bridging interventions are more
those with only pre- or post- activities.
(esp. qualitative) to develop "thicker"
A-M-O relationships in quantitative
analysis.
view studies to determine whether having
n in hospital and home was perceived as
nt contact people across settings.
ont ( A N/ O program theories
ent C-A-M-O program theories.

# Table 2: Facilitators of Care Transition Intervention Success

### **Facilitators to Interve**

**Intervention characteristics** Strong program theory/guid Formation of trusting relatio

### Good integration and collab

- Formalized partnership betw
- Increased provider access to
- Strong provider buy-in
- Favourable attitudes of in-ho Minimal additional task time

Low cost of intervention to

# Table 3: Barriers to Care Transition Intervention Success

# **Barriers to Intervent**

**Unexpected program imple** Inadequate intervention sta

# Prohibitive cost of interven

**Poor integration and collab** 

Intervention staff inadequat Insufficient communication (n = 5).

Lack of provider buy-in

- Intervention added too muc No financial incentives for p
- **Intervention characteristics**
- Lack of cultural acceptability
- Duration of post-discharge

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### RESULTS

ntion Success Identified by Study Authors	% studies reporting (n = 133)
<b>is and activities</b> ding framework (n = 8). onships between patients and intervention staff (n = 5).	28
<b>boration between providers</b> ween hospital and community care services (n = 9). o/inter-provider linkage of e-health files (n = 4).	14
ouse staff towards intervention (n = 5). e added to existing roles of providers (n = 4).	11
o funding organization and patients	8

tion Success Identified by Study Authors	% studies reporting (n = 133)
ementation issues Iff to conduct intervention activities (n = 9).	19
ntion to funding organization	14
<b>boration between providers</b> tely integrated with regular care staff (n = 13). In between intervention staff & community providers	13
ch to provider workload (n = 7). providers to conduct intervention activities (n = 4).	13
es and activities y of intervention for patients (n = 2). intervention care period too short (n = 2).	7

### IMPLICATIONS

Only ¼ hospital-to-home care transition interventions achieved significant improvements in all of the outcomes they measured.

This study identifies key mechanisms, activities and contextual factors that affect whether care transition interventions are successful.

Knowledge of these barriers and facilitators can be applied to future care transition interventions to improve their likelihood of success.

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### REFERENCES

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