A How-To Guide for Planning Hospital-to-Home Care Transition Interventions: Findings and Implications of a Realist Synthesis

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OBJECTIVES

- People who are discharged from hospital to home are at increased risk of numerous adverse outcomes¹:
 - Functional decline and poor self-rated health
 - Poor continuity of care and medication errors
 - Re-hospitalization, early institutionalization or death
- Existing meta-analytic syntheses on efficacy of interventions to prevent these outcomes have been largely inconclusive.
 - They report that heterogeneity in target populations, activities and contexts of care transition interventions limit conclusions about which interventions consistently work.^{2,3,4}
- The realist synthesis approach leverages this heterogeneity in care transition intervention activities, target populations and contexts to yield actionable results.⁵

This study aimed to answer: Why do different care transitions work, for whom and in what contexts?

THEORY

- Realist synthesis is a systematic, theory-driven, interpretive technique that uncovers relationships between contexts, activities, mechanisms and outcomes in complex interventions.⁵
- We hypothesized that that different care transition activities would induce patient outcomes via mechanisms that varied across home and hospital contexts.
- The theoretical constructs in Table 1 were used to guide the extraction and synthesis of data from a scoping literature review.

Table 1: Realist Synthesis Constructs Examined in Care Transition
Intervention Studies

Realist Construct	Definition
Context	Organizational or environmental back-drop of care transition intervention that triggers or modifies activities' actions. ⁶ <i>E.g. academic hospital; financial incentives for improved care transition outcomes.</i>
Activities	Processes, tools, events, technology and actions that are an intentional part of program implementation. ⁷ E.g. creation of a personalized care plan; medication reconciliation.
Mechanism	Underlying entities, processes or structures which operate in particular contexts to generate outcomes of interest. ⁸ E.g. consistent provider pre- and post-discharge fosters relationship with patient; intensity and repetition of activities increases their impact on outcomes.
Outcomes	Either intended or unintended results of intervention activities; can be proximal, intermediate or final. ⁸ E.g. changes in program participants' health care utilization, health status or knowledge.

METHODS Search Medline, EMBASE CINAHL and AgeLine with information scientist-identified search terms and key words. Scoping Abstract & title screen of 5,198 non-duplicate citations. Review Full text review of 512 papers. 133 papers included. Extract activity, outcome and context variables from each paper into extraction template. Review extracted data, create codebook of extracted Variable variables. **Extraction** Expert review of codebook variables; recommended changes & Coding to improve face & construct validity. Code 347 extracted variables for each study into analytic software. Re-read 133 studies to verify completeness of initial extraction and identify testable C-A-M-O hypotheses 15 C-A-M-O hypotheses identified: 3 context-focused, 4 activity-focused, 5 mechanism focused, 3 outcome focused Investigate hypotheses by first examining C-A-M-O relationships in quantitative coded data. E.g. Bivariate analysis to assess if bridging interventions are more Realist often successful than those with only pre- or post- activities. **Synthesis** Review relevant studies (esp. qualitative) to develop "thicker" understanding of C-A-M-O relationships in quantitative analysis. E.g. Review patient-interview studies to determine whether having the same contact person in hospital and home was perceived as superior to different contact people across settings. Report on emergent C-A-M-O program theories.

RESULTS

- Of included studies, 84% (n = 111) compared individual-level outcomes in control versus intervention groups.
- Of these comparison studies, **59**% (n = 65) and **26**% (n = 26) achieved **success** on some or all measured outcomes, respectively.
- **47**% (n = 63) of studies reported intervention activities and/or contextual factors that **facilitated the intervention's success** (Table 2).
- **50%** (n = 66) of studies reported intervention activities and/or contextual factors that were **barriers to intervention success** (Table 3).

RESULTS

Table 2: Facilitators of Care Transition Intervention Success

Facilitators to Intervention Success Identified by Study Authors	% studies reporting (n = 133)
 Intervention characteristics and activities Strong program theory/guiding framework (n = 8). Formation of trusting relationships between patients and intervention staff (n = 5). 	28
 Good integration and collaboration between providers Formalized partnership between hospital and community care services (n = 9). Increased provider access to/inter-provider linkage of e-health files (n = 4). 	
 Strong provider buy-in Favourable attitudes of in-house staff towards intervention (n = 5). Minimal additional task time added to existing roles of providers (n = 4). 	11
Low cost of intervention to funding organization and patients	8

Table 3: Barriers to Care Transition Intervention Success

	Barriers to Intervention Success Identified by Study Authors	studies reporting (n = 133)
	 Unexpected program implementation issues Inadequate intervention staff to conduct intervention activities (n = 9). 	19
	Prohibitive cost of intervention to funding organization	14
	 Poor integration and collaboration between providers Intervention staff inadequately integrated with regular care staff (n = 13). Insufficient communication between intervention staff & community providers (n = 5). 	
	 Lack of provider buy-in Intervention added too much to provider workload (n = 7). No financial incentives for providers to conduct intervention activities (n = 4). 	13
 Intervention characteristics and activities Lack of cultural acceptability of intervention for patients (n = 2). 		7

IMPLICATIONS

Duration of post-discharge intervention care period too short (n = 2).

- Only ¼ hospital-to-home care transition interventions achieved significant improvements in all of the outcomes they measured.
- This study identifies key mechanisms, activities and contextual factors that affect whether care transition interventions are successful.
- Knowledge of these barriers and facilitators can be applied to future care transition interventions to improve their likelihood of success.

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