



# **Understanding Interventions to Improve Transitions of Care**

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# Outline

- Interventions to improve transitions of care review
  - Introduction
  - Motivations
  - Methods
  - Synopsis of Studies
  - Results
- Discussion
  - Context, barriers and enablers
  - Questions & Next steps

# Why Transitions of Care?

- **Alternate Level of Care**
  - 16% of Ontario hospital beds are occupied by ALC patients
- **Hospital Readmission**
  - 19.6% of Medicare beneficiaries are rehospitalized within 30 days
- **Patient Safety**
  - 49% of patients experience at least one medical adverse event at discharge
- **Poor Information Transfer**
  - 23% of primary care providers had direct communications with hospital care team

# Why Interventions to Improve Transitions of Care?

“... because patients and their caregivers are often the **only common thread** moving across sites of care, together they constitute an appropriate target for an intervention...”

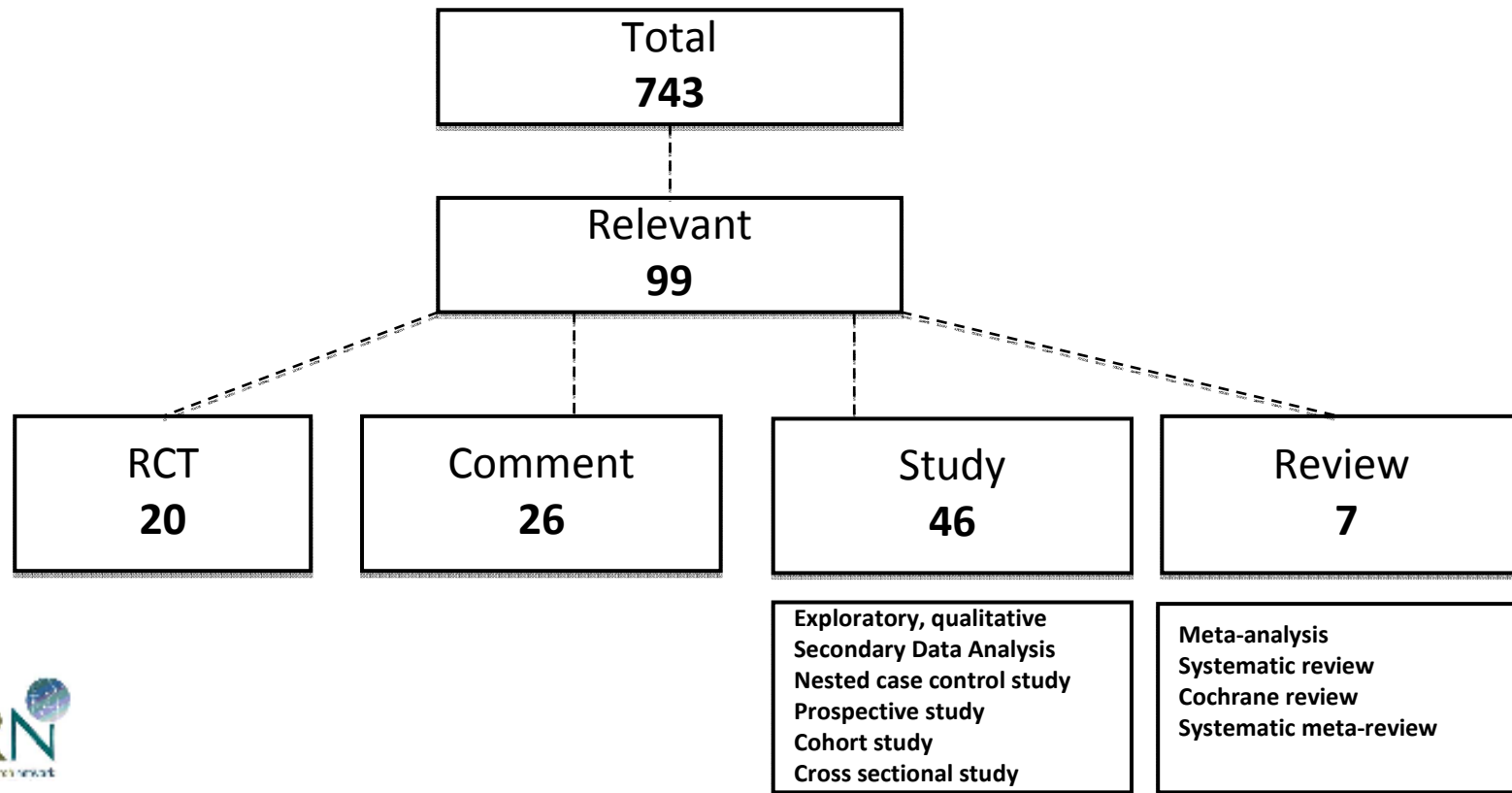
(Coleman et al. 2006)

# Motivation for this Review

- Limited evidence demonstrating effectiveness
- What are organizational and policy contexts in which interventions are implemented?
  - Does context influence the intervention process and/or outcomes?
- How can care transition interventions be better understood to enable effective implementation beyond a 'laboratory' setting?

# Review of Interventions: Methods

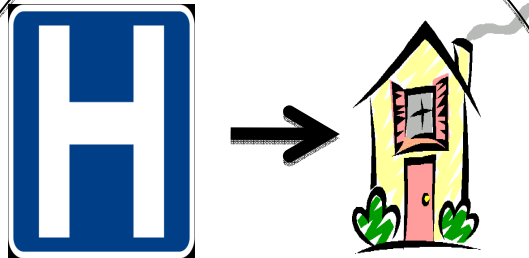
- Literature search (March 2009, repeated February 2010) of English-language articles indexed in PubMed, MEDLine (OVID), Scholars Portal & Google Scholar
- Hand search of reference sections for key papers



# Interventions Aim to Improve Process & Outcomes of Transitions


































Aim of Intervention	Measurements
<b>Smooth discharge process</b>	<ul style="list-style-type: none"> <li>* Length of Stay</li> <li>* Discharge destination</li> </ul>
<b>Prevent /manage post-discharge patient issues</b>	<ul style="list-style-type: none"> <li>* Functional measures</li> <li>* Patient Satisfaction</li> <li>* Safety Measures</li> </ul>
<b>Prevent hospital readmissions</b>	<ul style="list-style-type: none"> <li>* Readmission rates</li> <li>* ED visits</li> </ul>
<b>Reduce Costs</b>	<ul style="list-style-type: none"> <li>* Cost per patient</li> <li>* Insurance reimbursements</li> </ul>

# Intervention Components





<b>Intervention Name</b>	<b>Case mgt</b>	<b>Patient Education</b>	<b>Follow up</b>	<b>Med Rec</b>
<b>The Discharge-Transfer Intervention</b>	X		X	
<b>SIPA (System of Integrated Care for Frail Elderly)</b>	X	X	X	
<b>Nurse led multidisciplinary intervention for chronic heart failure patients</b>	X	X	X	
<b>4 pillar Care Transitions Intervention</b>		X	X	X
<b>Evidence Based Medication Management</b>				X
<b>MD directed, RN managed home based Heart Failure</b>	X	X	X	X
<b>Technology supported multidisease care mgt.</b>	X	X		
<b>Re-Engineered Discharge (RED)</b>	X	X	X	X
<b>Post discharge home based care for COPD patients</b>	X	X	X	
<b>Reengineered Hospital Discharge</b>	X	X	X	X
<b>Targeted care bundle</b>		X	X	X
<b>Post-Acute Care (PAC) Program</b>	X	X	X	
<b>Advanced Practice Nurse (APN) Centered discharge for Hospitalized Elders</b>	X	X	X	
<b>APN led intervention for heart failure</b>	X	X	X	X
<b>Home based RN intervention for Cardiac Patients</b>	X	X	X	
<b>Disease Management program for post MI patients</b>	X	X	X	

Intervention	Measured Outcomes			
	ED visit	Readmission	Satisfaction	Status
Discharge-Transfer Intervention				
SIPA (System of Integrated Care for Frail Elderly)				
Nurse led Multidisciplinary Intervention for CHF				
4 pillar Care Transitions Intervention				
Evidence Based Medication Management				
MD directed, RN Managed Home Based Heart Failure				
Technology Supported Multi-Disease Care Mgt.				
Re-Engineered Discharge (RED)				
Post-discharge Home Based Care for COPD				
Reengineered Hospital Discharge				
Targeted Care Bundle				
Post-Acute Care (PAC) Program				
Advanced Practice Nurse (APN) Centered discharge for Hospitalized Elders				
APN led intervention for Heart Failure				
Home based RN intervention for Cardiac Patients				
Disease Management program post-MI				

# Mixed Results for Interventions

- systematic review of **nurse-assisted care transition interventions** found improved outcomes, such as reduced re-hospitalization rates in ½ studies
  - No features are universally applicable (Chiu et al. 2007)
- There is **limited summarized evidence** that discharge planning and discharge support interventions have a positive impact on
  - patient status
  - patient functioning
  - health care use after discharge
  - Costs (Mistaien et al. 2007)

# Methodological Challenges?

- Heterogeneity of findings
  - Small sample sizes
  - Poor study retention
  - Differences in implementation
  - Study sample not ‘real world’
- Rehospitalization and ED visits are proxy measures of effectiveness, factors influencing readmission are complex:
  - underlying medical conditions
  - familial and social supports
  - communication
  - Transportation/housing

# Discussion: the importance of context

- Ability of a patient to ‘navigate’ the health care system is associated with complex interplay of factors
  - Patient Characteristics
  - Environment
- **Sociodemographic characteristics** of patients prone to complex discharges, rehospitalization
- What about the **organizational, system and policy contexts** which may influence the success of a transition intervention?

# Case Management by an Inter-professional Team



- Funding regimes may be a barrier for effective collaboration
  - Silo'd funding for providers
  - Provider funding schemes can be disincentives
- Support structures for inter-professional liaison
  - Communication tools
  - Clinical Information systems
  - Culture

# Patient Education & Empowerment



- Human Resource, cost burden
  - Coleman Care Transitions  
Intervention Coach: \$74, 310 /year (2006)
- Disease-specific discharge interventions do not address whole patient issues
  - Readmissions for patients in heart failure self management intervention were for co-morbid conditions (DeBusk et al. 2004)

# Ongoing Follow Up Across Settings

- Discharging patients quicker and sicker
  - Cost shifting from acute to community
  - Incentives to collaborate across settings
  - Unclear accountability, Beland et al. (2006) developed accountability agreements





# Medication Reconciliation

- Role redesign around responsibility, accountability
  - Role of clinical pharmacist, community pharmacist, attending physician?
- Reimbursement schemes for hospital, community pharmacists
  - Financial implications



# Knowledge Gaps & Questions

- What are approaches to studying the context in which care transitions interventions are successful (or not) ?
- How can system and organization level barriers to transition interventions be mitigated?
- What are lessons learned from organizations in Ontario implementing care transitions interventions?

# A New Approach?

## *Jenicek (2003) Evidence Hierarchy*

*I. Randomized Controlled Trial*

*II. Well designed trial without randomization*

*III. Analytical Observation Studies*

*IV. Multiple Time Series*

*V. Qualitative Case Reports*

- More research is needed
- New approaches are warranted
- Research to generate information supporting the transfer of knowledge on intervention effectiveness and outcomes

# Thank you & Contact Information

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